



A Mindfulness-Based Recovery Program for Lung Cancer Survivors & Family Members (Dyads)

RESULTS OF A FEASIBILITY STUDY

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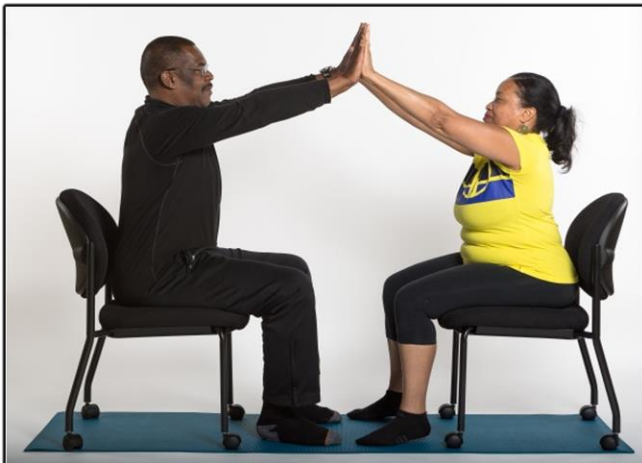
USC = University of South Carolina



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Intervention Team Leaders

**Upstream:
A Center for Mindfulness
Practice & Holistic Mental Health**



in Columbia, South Carolina, USA



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Background

- 5-year survival rate for individuals with non-small cell lung cancer is increasing^A
- Symptom burden remains a common problem for survivors and family members^B
- Long-term symptoms are associated with increased stress, poorer functional status, lower QOL, and higher mortality^C



Background

- Evidence exists that “mindfulness-based interventions” improve mood, reduce fatigue, anxiety and depression with patients with various types of cancer^D
- Few studies have targeted lung cancer survivors or dyads (survivor + family member)^E



Preliminary Study

Living with Lung Cancer: Receptivity and Preferences for Risk-reducing Behavior Change among African American Families (2015–2016)

Descriptive, qualitative study

Focus group methodology:

- Survivors alone
- Family members alone
- Together (as dyads)

Sample: Diverse, with few couples
26 dyads (N = 52)

- Survivors reported “unresolved dyspnea & fatigue”
- All receptive to changing lifestyles; dyads reported that lifestyle change required “compromise among all”
- Family members described unique challenges related to stress management and communication



Primary Purposes

#1 Test **feasibility** of tailored intervention *Breathe Easier*

- 8-week intervention consisting of education + meditation + breathing exercises + yoga (2 levels)
- For survivors with non-small cell lung cancer (stages I–IIIa) and their family members (dyads)

#2 Collect **preliminary data**

- Test hypothesis that participants will demonstrate (a) less dyspnea, (b) less fatigue, (c) improved sleep quality and quantity, and (d) less stress



Conceptual Model

Community-Based Participatory Model

- Invited participants from preliminary study
- Built on strength of their interested participation
- Collaborative partnership with survivors and families
- Will report findings at a participant reunion in November 2018



Design

Prospective,
one-group,
mixed method,
8-week (2
hours/week),
face-to-face
intervention

Evaluated:

- Recruitment
- Retention
- Intervention dose
- Adherence
- Acceptability

- Preliminary effects



Setting & Recruitment Plan

Setting: 2 large community hospitals in Columbia, South Carolina (USA), with American College of Surgeons–approved cancer programs

Recruitment:

- Goal: 25 dyads (N = 50)
- Two IRBs-approved partial waiver of consent allowed us to mail invitations to survivors (who then invited family members)



Eligibility Criteria

To be included, a **survivor** must:

- ✓ Have completed definitive treatment for localized lung cancer (NSCLC; stages I–IIIa) in past 10 years
- ✓ Be willing to complete 8-week intervention
- ✓ Have a family member willing to participate
- ✓ Be at least 21 years old
- ✓ Speak and read English



Eligibility Criteria

To be included, a **family member*** must:

- ✓ Be willing to complete 8-week intervention
- ✓ Be at least 21 years old
- ✓ Speak and read English

***Family Member** = any close friend or relative considered a support person by the survivor



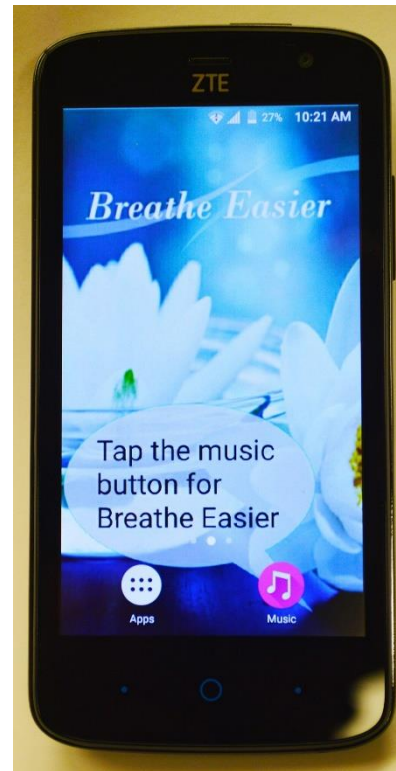
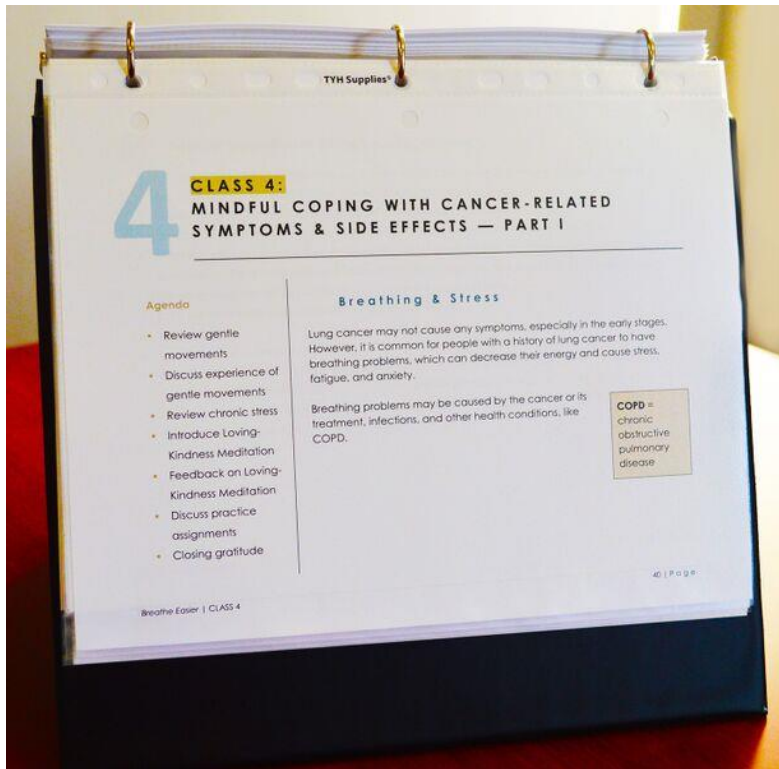
Exclusion Criteria

- ✘ Patients/Survivors with a known diagnosis of advanced lung cancer (stage IIIb–IVb)
- ✘ Survivors and family members for whom physical activity is not recommended



Intervention, Level 1

Education, Breathing Exercises, Sitting Yoga and Meditation



- Audio and visual options for at-home activities
- Literacy level: grade 5
- Racial & gender sensitivity



Intervention, Level 1

- CLASS 1** Mindfulness & Mindful Eating
- CLASS 2** Learning to Breathe Easier (Dyspnea)
- CLASS 3** Reacting vs. Responding to Stress & Living with Lung Cancer
- CLASS 4** Mindful Coping with Cancer-Related Symptoms & Side Effects (Stress)
- CLASS 5** Mindful Coping with Cancer-Related Symptoms & Side Effects (Sleep & Fatigue)
- CLASS 6** Stressful Communication—Knowing Your Fears & Other Difficult Emotions
- SATURDAY RETREAT** (4 hours)
- CLASS 7** Integrating Mindfulness into Daily Life
- CLASS 8** Keeping Up the Momentum



Findings: Recruitment & Retention



- **125** Survivors Responded
- **23** Survivors Enrolled
- **46** Participants Enrolled

18%
Recruitment
Rate

91%
Retention
Rate

Of those who did **not** enroll:

- **32** Not interested
- **22** Had a schedule conflict
- **20** Couldn't find partner
- **12** Undergoing treatment
- **7** Are caregivers for an ill family member
- **6** Too ill to participate
- **3** Moved to a different state



Participant Profile

| Characteristics | Survivors (n = 21) | Family Members (n = 21) |
|--------------------------|--------------------|-------------------------|
| Mean Age (SD) | 68 years (6.2) | 61 years (14.4) |
| Gender: | | |
| Male | 9 (43%) | 11 (52%) |
| Race: | | |
| Black | 13 (62%) | 12 (57%) |
| White | 8 (38%) | 9 (43%) |
| Completed High School | 17 (76%) | 20 (95%) |
| Annual Income < \$20,000 | 5 (24%) | 4 (19%) |
| Employed for Wages | 3 (14%) | 5 (24%) |
| Retired | 11 (52%) | 11 (52%) |
| Unable to Work | 6 (29%) | 1 (5%) |



Participant Profile

| Characteristics | Survivors (n = 21) | Family Members (n = 21) |
|----------------------------|--------------------|-------------------------|
| General Health: | | |
| Fair/Poor | 11 (52%) | 2 (10%) |
| Good/Very Good | 10 (48%) | 18 (86%) |
| Comorbid COPD | 9 (43%) | 2 (10%) |
| History of Cancer | 21 (100%) | 6 (29%) |
| Current Smoker* | 3 (14%) | 4 (19%) |
| Never Smoker | 1 (3%) | 9 (43%) |
| Oxygen User | 3 (14%) | 0 (0%) |
| Smoke-free Home | 13 (62%) | 13 (62%) |
| Lives Alone at Home | 2 (6%) | 2 (6%) |

*Self-reported; verified with Nic-alert (saliva).



Participant Profile

| Year of Diagnosis | n | % |
|-------------------|---|----|
| 2018 | 2 | 9 |
| 2017 | 1 | 4 |
| 2016 | 5 | 22 |
| 2015 | 4 | 17 |
| 2014 | 4 | 17 |
| Before 2014 | 7 | 30 |

Note: One family member was diagnosed with lung cancer in 2018

Types of Family Members:

11 Spouses

3 Close Friends

2 Sons

1 Daughter

1 Sibling

1 Father

1 Niece

2 Pastor

1 "Matched"



Adherence

| Factors | Expectation | Survivors | Family Members |
|--|---|------------|----------------|
| Attendance:* Weekly (8 weeks) Retreat (Sat., 3 hours) | 80% Weekly attendance 70% Retreat attendance | 91% 78% | 90% 83% |
| Home Assignments: Breathing Exercises | 16 min/day for 5 weeks | 16 min/day | 21 min/day |
| Home Assignments: Meditations | 18 min/day for 6 weeks | 20 min/day | 24 min/day |
| Home Assignments: Gentle Movements | 32 min/day for 4 weeks | 26 min/day | 25 min/day |

*Attendance calculated minus 4 dropouts (no attendance after consent appointment).



Acceptability

| Acceptability Statements ¹ | Responses: ² Survivors, n (%) | Responses: ² FMs, n (%) |
|---|---|---------------------------------------|
| Booklet easy to read | 49 (96%) | 55 (95%) |
| Booklet easy to use | 49 (96%) | 51 (93%) |
| Time needed for home assignments | 44 (81%) | 55 (90%) |
| Photos were helpful for home assignments | 40 (78%) | 52 (90%) |
| Audio recordings easy to use | 49 (91%) | 57 (93%) |
| Audio recordings helpful | 52 (96%) | 59 (97%) |
| Sharing my thoughts w/ others was comfortable | 52 (97%) | 58 (95%) |
| Learning gentle movements helped me | 52 (98%) | 53 (90%) |
| Involving a family member important to survivor | 53 (98%) | 54 (89%) |

¹ Acceptability measured by a data collection form, "Acceptability Evaluation," adapted with permission from P. J. Hollen, PhD, RN, FAAN. ² Responses "strongly agree" and "agree" combined.



Pre/Post Preliminary Outcomes

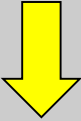
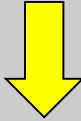
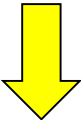
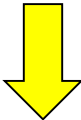
| Measure | Survivors (N = 23) | Family Members (N = 23) | Comparisons |
|--------------------------------------|--|--|----------------------------------|
| Exercise Capacity¹ | n = 17³ | n = 16³ | n = 33 |
| Mean T1 Distance (SD) | 305 meters (95.9) | 234 meters (90.3) | 271 meters (98.6) |
| Mean T2 Distance (SD) | 328 meters (108.2) | 247 meters (84.7) | 288.7 meters (104.5) |
| Results of Paired t-tests | t = 0.17, df = 16, p < .05 | t = 0.36, df = 15, p < .05 | t = 0.09, df = 32, p < 0.5 |

T1 = Pre-intervention; T2 = Post-intervention.
¹ Exercise Capacity measured with 6-Minute Walk Test.
² Interpret cautiously due to small sample size.
³ Only included participants who attempted/completed pre/post test.

Significant²



Pre/Post Preliminary Outcomes

| Measure | Survivor | Family Member | Comparisons |
|---|--|---|---|
| Dyspnea Scores¹ | N = 23 T1 = 10.68 T2 = 7.16  | N = 23 T1 = 6.44 T2 = 5.28  | N = 46 p = 0.05 (Group) p = 0.12 (Time) |
| Fatigue FACIT F Scores² | N = 23 T1 = 32.0 T2 = 39.3  | N = 23 T1 = 36.0 T2 = 39.6  | N = 46 p = 0.80 (Group) p = 0.07 (Time) |

T1 = Pre-intervention; T2 = Post-intervention.

¹ Dyspnea measured with FACIT-Dyspnea 10-item Short Form.

² Fatigue measured with FACIT Fatigue Scale (v. 4).

³ Interpret cautiously due to small sample size.

Significant difference by group³



Pre/Post Preliminary Outcomes

| Measure | Survivor | Family Member | Comparisons |
|--|------------------------------------|------------------------------------|---|
| Perceived Stress Scores¹ | N = 23 T1 = 15.07 T2 = 13.93 | N = 23 T1 = 12.64 T2 = 12.71 | N = 46 p = 0.26 (Group) p = 0.73 (Time) |
| Sleep Quality Scores² | N = 23 T1 = 10.12 T2 = 7.82 | N = 23 T1 = 8.82 T2 = 8.19 | N = 46 p = 0.66 (Group) p = 0.18 (Time) |

T1 = Pre-intervention; T2 = Post-intervention.

¹ Perceived stress measured with the Perceived Stress Scale.

² Sleep quality measured with the Pittsburg Sleep Quality Index.



Discussion

1. **Recruitment** was slow over 10 months

- The isolating nature of lung cancer
- The uniqueness of this intervention
- Program commitment
- Smaller # of survivors of stages I–IIIa lung cancer
- Dyad requirement

2. **Retention** was high

- Participants were engaged
- Maximized convenience
- Enjoyed the social interaction



Discussion

3. **Adherence** was demonstrated by good attendance and **exceeding** expectations on home assignments for breathing exercises, meditations and **meeting** expectations for gentle movements (sitting yoga).

4. We received high grades of **acceptability** from family members and survivors. However, some survivors gave a lower acceptability rating to the photos we used, as well as to the amount of time needed to practice home assignments.



Implications

An adapted mindfulness-based cancer recovery intervention is **feasible**.

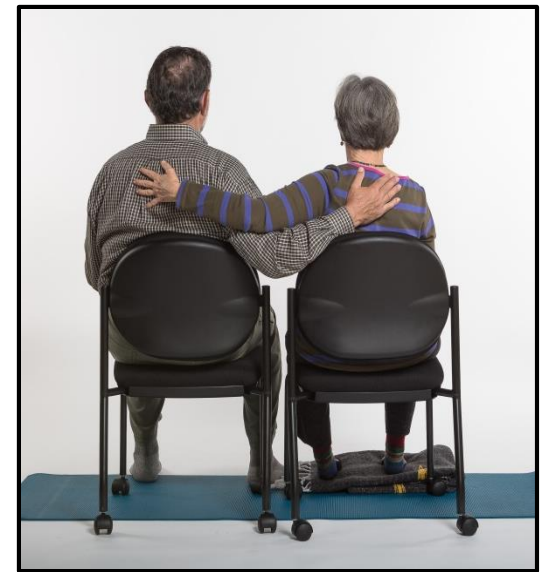
Preliminary results showed that it may:

- Improve exercise capacity
- Decrease dyspnea
- Decrease fatigue
- Improve perceived stress and sleep in survivors



Study Strengths

1. Adapted an established intervention
2. Recruited well-trained “practicing” mindfulness practitioners
3. Strong emphasis on fidelity ~ written protocols
4. Measurement, measurement, measurement
5. Used a “unique methodology” to focus on symptom management:
 - Dyads focused on an activity to improve each others’ health
 - Stress management was well received



References

- ^A Vijayvergia, N., Shah, P. C., & Denlinger, C. S. (2015). Survivorship in non–small cell lung cancer: Challenges faced and steps forward. *Journal of the National Comprehensive Cancer Network*, 13(9), 1151-1161.
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